Practitioner's Docket No. _2000-IP-002115U1P1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n m application of: Karen Luke, et al.

Application No: 10 (623,443

Group No.: 1712 Examiner:

Filed: 7/18/03 For:

Zeolite Containing Treating Fluid

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))

NOTE: 37 C.F.R. 1.97: "(c) An information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under \$ 1.113, a notice of allowance under \$ 1.311. or an action that otherwise closes prosecution in the application, and it is accompanied by one of:

- (1) The statement specified in paragraph (e) of this section; or
- (2) The fee set forth in \$ 1.17(o)."

NOTE: "If a final action or notice of allowance is mailed in an application and later withdrawn, the application will be considered as not having had a final action or notice of allowance mailed for purposes of considering an information disclosure statement." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

> CERTIFICATION UNDER 37 C.F.R. 88 1.8(a) and 1.10* (When using Express Mell, the Express Mell label number is mandetony: Express Mail certification is optional.)

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* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under \$ 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mell Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [8-4] page 1 of 3)

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- NOTE: 37 C.F.R. § 1.704(t): "A paper containing only an information disclosure statement in compliance with §§ 1.97 and 1.98 with othe considered a failure to engage in reasonable efforts to conduct greaceution (processing or examination) of the application under paragraphs (c)(\$\frac{1}{2}\), (c)(\$\frac{1}{2}\), (c)(\$\frac{1}{2}\), or (c)(\$\
- NOTE: "If information submitted during the period set forth in 37 C.F.R. 1.97(c) with a certification is used in a recognition or unsmended claims, the next Office action will not be made final since in this situation it is clear that applicant has submitted the information to the office promptly after it has become known and the information is being submitted prior to a fixed clear-mission on patentiability by the Office. However, the information submitted with a certification can be used in a new ground of rejection and the next Office action made final, if the new ground certification was necessitated by amendment of the application by applicant. Where the information is submitted during the period with a les, the examiner may use the information submitted, ag, printed period and the next Office action final whether or not the claims hallowed new another new ground of rejection which was not necessitated by another to the claims is introduced by the examiner. See MPEP 706.07(a), if a new ground of rejection is introduced that it neither necessitated by an amendment to the claims not bear of the information submitted with the fee set forth in 37 C.F.R. § 1.17(a), the Office action and not be interesting in the contribution of the printed of the
- WARNING: "A petition for suspension of action to allow applicant time to submit on information disclosure the streament will be denied as failing to present good and sufficient reasons, alone 37 C.F.R. § 1.97 provides actiquate recourse for the timely submission of prior at for consideration by the assuminar." Notice of July 6, 1992 (1414 O.G. 83). But ase § 1.03(s) and (s), intends assperation of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (PCC) under § 1.114.

WARNING: No extension of time can be had under 37 C.F.R. 1.138 (a) or (b) for filing an IDS, 37 C.F.R. 1.97(f).

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- 1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either.
 - (1) a final action under § 1.113 or
 - (2) a notice of allowance under § 1.311,

whichever occurs first.

STATEMENT OR FEE

2. Accompanying this transmittal is

(check either A or B below)

A. [a statement as specified in 37 C.F.R. § 1.97(e).

OR

B.

the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c), (\$180.00).

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance (6-4)—page 2 of 3)



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(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance (8-4)—page 3 of 3)

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Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) of 1

/John J. Figueroa/

r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number of Complete if Known Application Number 10/623,443 Filing Date 07/18/2003

First Named Inventor Karen Luke, et al. Art Unit Examiner Name Attorney Docket Number 2002-IP-002115U1P1

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (# known)}			1,000,000
/JJF/	1	US- 4,548,734	10/22/1985	Chaux, et al.	
/JJF/	2	US- 5,711,383	01/27/1998	Terry, et al.	
/JJF/	3	US- 6,786,966	09/07/2004	Johnson, et al.	
/JJF/	4	US- 2004/0187740	09/30/2004	Timmons	
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